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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Xu et al.

Application No.: 10/054,387

Filed: January 22, 2002

TECH CENTER 1600/2900

Title: MHC CLASS II ANTIGEN PRESENTING CELLS CONTAINING
OLIGONUCLEOTIDES WHICH INHIBIT Ii PROTEIN EXPRESSION

#3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
the United States Postal Service as First Class Mail in an envelope
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Washington, D.C. 20231 on 4/18/02

KEVIN M. FARRELL, P.C.

Jammy Thoulton 4/18/02

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INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

[X] under 37 CFR 1.97(b), or

(Filed within three months of filing national application;
within three months of date of entry of national stage of
international application; or before mailing date of first
office action on the merits; whichever occurs last)

[] under 37 CFR 1.97(c) together with either:

- [] a Certification under 37 CFR 1.97(e), or
- [] a \$240.00 fee under 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final
action or notice of allowance)

- [] under 37 CFR 1.97(d) together with:
[] a Certification under 37 CFR 1.97(e), and
[] a petition under 37 CFR 1.97(d)(2)(ii), and
[] a petition fee set forth in 37 CFR 1.17(i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Method of Fee Payment:

- [] Enclosed is a check in the amount of \$_____.
- [] Please charge Deposit Account 06-0130 in the amount of \$_____.
- [] Two duplicate copies of this Statement are enclosed.
- [X] Please charge any deficiency in fees and credit any overpayment to Deposit Account 06-0130.

Enclosed herewith is form PTO-1449:

- [] Copies of the cited references are enclosed.
- [X] Copies of cited references are enclosed except those of record in prior application, U.S. Serial No. 09/205,995, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- [] The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
- [] the explanation provided on the attached sheet.
- [] the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,



Kevin M. Farrell
Attorney for Applicants
Registration No. 35,505
(207) 363-0558

York Harbor, ME
Dated: 4/17/02
REH\ARC\2011.IDS

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
REH-2011SERIAL NO.:
10/054,387**RECEIVED**LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANTS: Xu et al.

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GROUP:

TECH CENTER 1600/2900

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|-----------|------------------|-------|--------------|-------------------------------|
| | AA | 5,726,020 | 10-Mar-98 | Humphreys et al. | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
|--|----|-----------------|------|---------|-------|--------------|-----------------------|
| | AL | | | | | | |
| | AM | | | | | | |
| | AN | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | AR | Bertolino et al., Crit. Reviews in Immunol. 16: 359-379 (1996). |
| | AS | Bertolino et al., International Immunology 3: 435-443 (1991). |
| | AT | Ostrand-Rosenberg et al., J. of Immunol. 144: 4068-4071 (1990). |

EXAMINER

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|---------------------|-----------------|------|------|-------|--------------|-------------------------------|
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|-----|---|
| AU | Clements et al., <i>J. of Immunol.</i> 149: 2391-2396 (1992). |
| AV | Baskar et al., <i>Cell. Immunol.</i> 155: 123-133 (1994). |
| AW | Baskar et al., <i>J. Exp. Med.</i> 181: 619-629 (1995). |
| AX | Armstrong et al., <i>Proc. Natl. Acad. Sci. USA</i> 94: 6886-6891 (1997). |
| AY | Chen and Ananthaswamy, <i>J. of Immunol.</i> 151: 244-255 (1993). |
| AZ | Moudgil et al., <i>J. Immunol.</i> 159: 2574-2579 (1997). |
| AR2 | |
| AS2 | |
| AT2 | |
| AU2 | |

EXAMINER

DATE CONSIDERED